

Application

Federal Award Identification Number (FAIN)	CO-2021-036-00
Temporary Application Number	6965-2021-2
Application Name	FY 2021 5311 Tribal Formula - Operating, Project Admin, and Preventive Maintenance
Application Status	Final Concurrence / Reservation
Application Budget Number	0

Period of Performance Start Date	N/A
Original Period of Performance End Date	3/30/2023
Current Period of Performance End Date	N/A Revision #: N/A

Part 1: Recipient Information

Name: Southern Ute Indian Tribe

Recipient ID	Recipient OST Type	Recipient Alias	Recipient DUNS
6965	Indian Tribe	SOUTHERN UTE INDIAN TRIBE	078353919

Location Type	Address	City	State	Zip
Headquarters	356 OURAY DR	IGNACIO	CO	811375097
Physical Address	356 OURAY DR	IGNACIO	CO	81137
Mailing Address	P.O. BOX 737	IGNACIO	CO	81137

Union Information

There are no union contacts for this application

Part 2: Application Information

<p>Title: FY 2021 5311 Tribal Formula - Operating, Project Admin, and Preventive Maintenance</p>

FAIN	Application Status	Application Type	Date Created	Last Updated Date	From TEAM?
CO-2021-036-00	Final Concurrence / Reservation	Grant	6/30/2021	6/30/2021	No

Application Executive Summary

The Southern Ute Tribe uses FY20 5311 Tribal Formula funds in the amount of \$69,281 (full apportionment) will be used in support of the Tribe's transit service (known locally as DAR) which provides 73 hours per week of demand response transit service within an area encompassing the Southern Ute Tribal Campus, tribal enterprise locations, tribal neighborhoods, senior housing, tribal services including medical, education and recreation, plus shopping, social and family connections from October 1, 2022 to December 31, 2022.

The FY21 CRRSAA funds will fund DAR services from January 1, 2022 to June 30, 2022. The FY21 ARP funds will fund DAR services from July 1, 2022 to September 30, 2022. Federal share is 100%

The following activities are included in this application:

1. Operations;
2. Project Administration; and
3. Preventive Maintenance

The budget for each line item includes indirect costs. FY 21 IDC letter is attached under Recipient Documents.

Frequency of Milestone Progress Reports (MPR)

Annual

Frequency of Federal Financial Reports (FFR)

Annual

Does this application include funds for research and/or development activities?

This award does not include research and development activities.

Pre-Award Authority

This award is not using Pre-Award Authority.

Does this application include suballocation funds?

Recipient organization is the Designated Recipient and can apply for and receive these apportioned funds.

Will this Grant be using Lapsing Funds?

No, this Grant does not use Lapsing Funds.

Will indirect costs be applied to this application?

This award is applying an approved Indirect cost rate(s).

Indirect Rate Details: The Southern Colorado Community Action Agency dba Road Runner Transit is a subrecipient of the Southern Ute Indian Tribe. The Tribe will not apply indirect costs to this award; however, the subrecipient will. It is the Tribe's responsibility as the pass-through agency to document subs

indirect cost plan. Per conversation, SoCoCAA's federally approved indirect cost rate is 10.9%, and will be applied to all projects in this grant.

Requires E.O. 12372 Review

No, this application does not require E.O. 12372 Review.

Delinquent Federal Debt

No, my organization does not have delinquent federal debt.

Application Point of Contact Information

First Name	Last Name	Title	E-mail Address	Phone
Mikki	Naranjo	Contracts & Grants Manager	minaranjo@southernute-nsn.gov	(970) 563-2441 2409
Eboni	Younger-Riehl	Transportation Program Specialist	eboni.younger-riehl@dot.gov	(303) 362-2394

Application Budget Control Totals

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Tribal Transit Program Formula	5311-1B	20509	\$69,281
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$69,281

Application Budget

Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
CO-2021-036-01-00	600-00 (600-A1) OTHER PROGRAM COSTS	\$69,281.00	\$0.00	\$69,281.00	3
CO-2021-036-01-00	11.79.00 PROJECT ADMINISTRATION	\$6,928.00	\$0.00	\$6,928.00	1
CO-2021-036-01-00	11.7A.00 PREVENTIVE MAINTENANCE	\$4,500.00	\$0.00	\$4,500.00	1
CO-2021-036-	30.09.08 EMER RELIEF -	\$57,853.00	\$0.00	\$57,853.00	1

01-00	OPERATING ASSIST - 100% Fed Share
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Discretionary Allocations

This application does not contain discretionary allocations.

Part 3: Project Information

Project Title: Ignacio Area Dial-A-Ride Operations, Project Administration and Preventive Maintenance

Project Number	Temporary Project Number	Date Created	Start Date	End Date
CO-2021-036-01-00	6965-2021-2-P1	7/2/2021	10/1/2022	12/31/2022

Project Description

This project will include the following activities:

- Operations
- Project Administration
- Preventive Maintenance

100% federal share.

Project Benefits

The DAR service continues essential tribal transportation for calendar year 2022. DAR is a demand-response service that provides full access to all community services and destinations within the hours of operation.

Additional Information

Location Description

The service area is in southeastern La Plata County, Colorado.

Project Location (Urbanized Areas)

UZA Code	Area Name
080000	Colorado

Congressional District Information

State	District	Representative
Colorado	3	Lauren Boebert

Program Plan Information

STIP/TIP

Date: 5/1/2020

Description: Southwest [Colorado] Regional Human Services Transportation Plan, approved by the Southwest Colorado Regional Transportation Planning Commission and incorporated into the Colorado STIP.

UPWP

Date: Not Provided

Description: n/A

Long Range Plan

Date: Not Provided

Description: n/A

Project Control Totals

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Tribal Transit Program Formula	5311-1B	20509	\$69,281
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$69,281

Project Budget

Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
CO-2021-036-01-00	600-00 (600-A1) OTHER PROGRAM COSTS	\$69,281.00	\$0.00	\$69,281.00	3
CO-2021-036-01-00	11.79.00 PROJECT ADMINISTRATION	\$6,928.00	\$0.00	\$6,928.00	1
CO-2021-036-01-00	11.7A.00 PREVENTIVE MAINTENANCE	\$4,500.00	\$0.00	\$4,500.00	1
CO-2021-036-01-00	30.09.08 EMER RELIEF - OPERATING ASSIST - 100% Fed Share	\$57,853.00	\$0.00	\$57,853.00	1

Project Budget Activity Line Items

Budget Activity Line Item: 30.09.08 - EMER RELIEF - OPERATING ASSIST - 100% Fed Share

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
OTHER PROGRAM COSTS (600-00)	30.09.08	EMER RELIEF - OPERATING ASSIST - 100% Fed Share	OPERATING ASSISTANCE	1

Extended Budget Description

This activity will fund operating assistance for the timeframe of 10/1/2022 through 12/31/2022. Southern Ute Tribe passes through funding to Southern Colorado Community Action Agency (SoCoCAA) to operate transit services. Operating expenses include driver and dispatch salaries and fringe benefits, fuel, oil and other direct operating costs.

Will 3rd Party contractors be used to fulfill this activity line item?

No, 3rd Party Contractors will not be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Tribal Transit Program Formula	5311-1B	20509	\$57,853
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$57,853

Milestone Name	Est. Completion Date	Description
Start Date	10/1/2022	Start of operating expenses
End Date	12/31/2022	End of operating expenses

Budget Activity Line Item: 11.79.00 - PROJECT ADMINISTRATION

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
OTHER PROGRAM COSTS (600-00)	11.79.00	PROJECT ADMINISTRATION	OTHER CAPITAL ITEMS (BUS)	1

Extended Budget Description

FFY2021 5311 TTP Formula funds will be used for Project Administration for the Tribal Transit Program to provide transportation services throughout the Reservation.

Project Admin expenses are from October 1, 2022 to December 31, 2022 and include general admin expenses (salaries of project director, admin assistant, accounting/finance staff), marketing expenses,

insurance premiums, office supplies, facilities and equipment rental, standard overhead rates, and costs of administering drug and alcohol testing.

No supplies will be purchased costing more than \$5,000 per unit and useful life greater than 1 year, and any supplies with an aggregate value greater than \$5000, will be reported as project property.

Will 3rd Party contractors be used to fulfill this activity line item?

No, 3rd Party Contractors will not be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Tribal Transit Program Formula	5311-1B	20509	\$6,928
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$6,928

Milestone Name	Est. Completion Date	Description
Start Date	10/1/2022	Start of administration expenses
End Date	12/31/2022	End of administration expenses

Budget Activity Line Item: 11.7A.00 - PREVENTIVE MAINTENANCE

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
OTHER PROGRAM COSTS (600-00)	11.7A.00	PREVENTIVE MAINTENANCE	OTHER CAPITAL ITEMS (BUS)	1

Extended Budget Description

FFY 2021 5311 TTP Formula funds will be used for preventive maintenance expenses for the Tribal Transit Program, including small capital purchases and supplies for preventive maintenance and repairs to vehicles, equipment and facilities. Timeframe for expenses is from October 1, 2022 to December 31, 2022.

Any equipment exceeding \$5000 per unit and useful life greater than 1 year, and any supplies with an aggregate value greater than \$5000, will be reported as project property at closeout of this award.

Will 3rd Party contractors be used to fulfill this activity line item?

No, 3rd Party Contractors will not be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Tribal Transit Program Formula	5311-1B	20509	\$4,500

Local		\$0
Local/In-Kind		\$0
State		\$0
State/In-Kind		\$0
Other Federal		\$0
Transportation Development Credit		\$0
Adjustment		\$0
Total Eligible Cost		\$4,500

Milestone Name	Est. Completion Date	Description
Start Date	10/1/2022	Start of PM
End Date	12/31/2022	End of PM

Project Environmental Findings

Finding: Class II(c) - Categorical Exclusions (C-List)

Class Level Description

Class II(c) consists of projects that do not have a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. FTA requires a sufficient project description to support a CE determination. The project may require additional documentation to comply with other environmental laws.

Categorical Exclusion Description

Type 07: Acquisition, installation, rehabilitation, replacement, and maintenance of vehicles or equipment, within or accommodated by existing facilities, that does not result in a change in functional use of the facilities, such as: equipment to be located within existing facilities and with no substantial off-site impacts; and vehicles, including buses, rail cars, trolley cars, ferry boats and people movers that can be accommodated by existing facilities or by new facilities that qualify for a categorical exclusion.

Date Description	Date
Class IIc CE Approved	

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
OTHER PROGRAM COSTS (600-00)	11.7A.00	PREVENTIVE MAINTENANCE	1	\$4,500.00	\$4,500.00

Finding: Class II(c) - Categorical Exclusions (C-List)

Class Level Description

Class II(c) consists of projects that do not have a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. FTA requires a sufficient project description to support a CE determination. The project may require additional documentation to comply

with other environmental laws.

Categorical Exclusion Description

Type 04: Planning and administrative activities which do not involve or lead directly to construction, such as: training, technical assistance and research; promulgation of rules, regulations, directives, or program guidance; approval of project concepts; engineering; and operating assistance to transit authorities to continue existing service or increase service to meet routine demand.

Date	Description	Date
	Class IIc CE Approved	

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
OTHER PROGRAM COSTS (600-00)	11.79.00	PROJECT ADMINISTRATION	1	\$6,928.00	\$6,928.00

Finding: Class II(c) - Categorical Exclusions (C-List)

Class Level Description

Class II(c) consists of projects that do not have a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. FTA requires a sufficient project description to support a CE determination. The project may require additional documentation to comply with other environmental laws.

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Date	Description	Date
	Class IIc CE Approved	

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
OTHER PROGRAM COSTS (600-00)	30.09.08	EMER RELIEF - OPERATING ASSIST - 100% Fed Share	1	\$57,853.00	\$57,853.00

Part 4: Fleet Details

No fleet data exists for this application.

Part 5: FTA Review Comments

FTA Comments for DOL

Comment By Eboni Younger-Riehl

Comment Type DOL Review for Information

Date 8/20/2021

Recipient:
Mikki Naranjo
Southern Ute Tribe
Contracts & Grants Manager
970-563-2441
minaranjo@southernute-nsn.gov

Comment

Subrecipient of Southern Ute Tribe:
Lori Niewold
Executive/Development Director
Southern Colorado Community Action Agency (SoCoCAA)
Phone: (970) 563-4517
Cell: (970) 442-0456

Part 6: Agreement

**UNITED STATES OF AMERICA
DEPARTMENT OF TRANSPORTATION
FEDERAL TRANSIT ADMINISTRATION**

**GRANT AGREEMENT
(FTA G-28, February 9, 2021)**

On the date the authorized U.S. Department of Transportation, Federal Transit Administration (FTA) official signs this Grant Agreement, FTA has obligated and awarded federal assistance as provided below. Upon execution of this Grant Agreement by the Recipient named below, the Recipient affirms this FTA Award, enters into this Grant Agreement with FTA, and binds its compliance with the terms of this Grant Agreement.

The following documents are incorporated by reference and made part of this Grant Agreement:

- (1) "Federal Transit Administration Master Agreement," FTA MA(28), February 9, 2021, <http://www.transit.dot.gov>,
- (2) The Certifications and Assurances applicable to the FTA Award that the Recipient has selected and provided to FTA, and
- (3) Any Award notification containing special conditions or requirements, if issued.

WHEN THE TERM "FTA AWARD" OR "AWARD" IS USED, EITHER IN THIS GRANT AGREEMENT OR THE APPLICABLE MASTER AGREEMENT, "AWARD" ALSO INCLUDES ALL TERMS AND CONDITIONS SET FORTH IN THIS GRANT AGREEMENT.

FTA OR THE FEDERAL GOVERNMENT MAY WITHDRAW ITS OBLIGATION TO PROVIDE FEDERAL ASSISTANCE IF THE RECIPIENT DOES NOT EXECUTE THIS GRANT AGREEMENT WITHIN 90 DAYS FOLLOWING FTA's AWARD DATE SET FORTH HEREIN.

FTA AWARD

Federal Transit Administration (FTA) hereby awards a Federal Grant as follows:

Recipient Information

Recipient Name: Southern Ute Indian Tribe

Recipient ID: 6965

DUNS No: 078353919

Application Information

Federal Award Identification Number: CO-2021-036-00

Application Name: FY 2021 5311 Tribal Formula - Operating, Project Admin, and Preventive Maintenance

Application Start Date: N/A

Original Award End Date: 3/30/2023

Current Award End Date: N/A

Application Executive Summary: The Southern Ute Tribe uses FY20 5311 Tribal Formula funds in the amount of \$69,281 (full apportionment) will be used in support of the Tribe's transit service (known locally as DAR) which provides 73 hours per week of demand response transit service within an area encompassing the Southern Ute Tribal Campus, tribal enterprise locations, tribal neighborhoods, senior housing, tribal services including medical, education and recreation, plus shopping, social and family connections from October 1, 2022 to December 31, 2022.

The FY21 CRRSAA funds will fund DAR services from January 1, 2022 to June 30, 2022. The FY21 ARP funds will fund DAR services from July 1, 2022 to September 30, 2022. Federal share is 100%

The following activities are included in this application:

1. Operations;
2. Project Administration; and
3. Preventive Maintenance

The budget for each line item includes indirect costs. FY 21 IDC letter is attached under Recipient Documents.

Research and Development: This award does not include research and development activities.

Indirect Costs: This award is applying an approved Indirect cost rate(s).

Suballocation Funds: Recipient organization is the Designated Recipient and can apply for and receive these apportioned funds.

Pre-Award Authority: This award is not using Pre-Award Authority.

Application Budget

Total Application Budget: \$69,281.00

Amount of Federal Assistance Obligated for This FTA Action (in U.S. Dollars): \$69,281.00

Amount of Non-Federal Funds Committed to This FTA Action (in U.S. Dollars): \$0.00

Total FTA Amount Awarded and Obligated (in U.S. Dollars): \$69,281.00

Total Non-Federal Funds Committed to the Overall Award (in U.S. Dollars): \$0.00

Application Budget Control Totals

(The Budget includes the individual Project Budgets (Scopes and Activity Line Items) or as attached)

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Tribal Transit Program Formula	5311-1B	20509	\$69,281
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$69,281

(The Transportation Development Credits are not added to the amount of the Total Award Budget.)

U.S. Department of Labor Certification of Public Transportation Employee Protective Arrangements:

Original Certification Date:

Special Conditions

There are no special conditions.

FINDINGS AND DETERMINATIONS

By signing this Award on behalf of FTA, I am making all the determinations and findings required by federal law and regulations before this Award may be made.

FTA AWARD OF THE GRANT AGREEMENT

Awarded By:

FEDERAL TRANSIT ADMINISTRATION
U.S. DEPARTMENT OF TRANSPORTATION
Contact Info:
Award Date:

EXECUTION OF THE GRANT AGREEMENT

Upon full execution of this Grant Agreement by the Recipient, the Effective Date will be the date FTA or the Federal Government awarded Federal assistance for this Grant Agreement.

By executing this Grant Agreement, the Recipient intends to enter into a legally binding agreement in which the Recipient:

- (1) Affirms this FTA Award,
- (2) Adopts and ratifies all of the following information it has submitted to FTA:
 - (a) Statements,
 - (b) Representations,
 - (c) Warranties,
 - (d) Covenants, and
 - (e) Materials,
- (3) Consents to comply with the requirements of this FTA Award, and
- (4) Agrees to all terms and conditions set forth in this Grant Agreement.

Executed By:

Southern Ute Indian Tribe